



Medical History. List all visits with healthcare providers within the past year. Use additional sheet if needed.

Date	Practitioner Name	Clinic	Reason for Visit

Medication information. List all Current Medication. Be Very Specific to Include All Prescription Medications, Samples, and Over the Counter (OTC) Products. Use Additional Sheets if Necessary.

Date	Medication	Dosage	Quantity	Refills	Physician/ Provider	Pharmacy	Reason for Medication

Legal or Court Action. List All Prior, Current, and Pending Criminal Activities or Convictions:

Date	Violation	Court Date	Outcome	Probation/Incarceration

History and Account of Incident:

Date of incident ?	Time of incident ?
Type of Shift <input type="checkbox"/> 8 hour <input type="checkbox"/> 10 hour <input type="checkbox"/> 12 hour <input type="checkbox"/> on call _____ hours <input type="checkbox"/> other _____	Shift start time _____ ? Shift end time _____ ?
Number of days work in a row at time of incident ?	Where you working in temporary capacity? <input type="checkbox"/> Yes <input type="checkbox"/> No
Type of Assignment: <input type="checkbox"/> Direct patient care <input type="checkbox"/> Team Leader <input type="checkbox"/> Charge <input type="checkbox"/> Nurse Mg/supervisor <input type="checkbox"/> Combination leadership/patient care	Number of patients assigned directly to you at the time of incident _____ ? Number of staff member you were responsible for supervising at time of incident _____ ? Number of patients you were responsible for overall which would include direct care patients and those you supervised _____ ?

Individual Factors- Check All Factors for You that Contributed to this Incident:

<input type="checkbox"/> Language barrier	<input type="checkbox"/> Cognitive impairment	<input type="checkbox"/> High work volume/stress
<input type="checkbox"/> Fatigue/lack of sleep	<input type="checkbox"/> Drug/alcohol impairment/abuse	<input type="checkbox"/> Functional ability deficient
<input type="checkbox"/> Inexperience/training	<input type="checkbox"/> No rest breaks/meal breaks	<input type="checkbox"/> Lack of orientation/training
<input type="checkbox"/> Overwhelming assignment	<input type="checkbox"/> Mental health issues	<input type="checkbox"/> Lack of team support
<input type="checkbox"/> Conflict with team members	<input type="checkbox"/> Personal Pain management	<input type="checkbox"/> Other (describe in detail or use additional paper if needed).

Patient Demographics:

Was Patient’s family/friend present at the time of incident? <input type="checkbox"/> Yes <input type="checkbox"/> No	Patient Gender <input type="checkbox"/> Female <input type="checkbox"/> Male	Patient Age
Pertinent patient characteristics at the time of incident (mark all that apply):		
<input type="checkbox"/> Agitation/combativeness	<input type="checkbox"/> Altered level of consciousness	<input type="checkbox"/> Pain/discomfort
<input type="checkbox"/> Incontinence	<input type="checkbox"/> Sensory deficits (hearing/vision/touch)	<input type="checkbox"/> Insomnia
<input type="checkbox"/> Communication/language	<input type="checkbox"/> Communication/language difficulty	<input type="checkbox"/> Depressed/anxious



<input type="checkbox"/> Inadequate coping/stress management	<input type="checkbox"/> None	<input type="checkbox"/> unknown	<input type="checkbox"/> Other _____
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Healthcare Team Involvement: Please provide names and contact information for other health care team members involved or witnessing the incident.

Select other health care team areas that were involved in the incident:			
<input type="checkbox"/> Supervisory nurse/personnel	<input type="checkbox"/> Floating/temporary staff	<input type="checkbox"/> Patient	
<input type="checkbox"/> Physician	<input type="checkbox"/> Nurse aide of UAP	<input type="checkbox"/> Patient family/friend	
<input type="checkbox"/> Other prescribing provider	<input type="checkbox"/> Medication aid	<input type="checkbox"/> Other health care professionals (PT, RT, OT)	
<input type="checkbox"/> Staff nurse	<input type="checkbox"/> Pharmacist	<input type="checkbox"/> Other	
Name of Witness		Phone Number (home and cell)	
Address of Witness	City	State	Zip code

If there are more witness names, list on the back of this page or attach a separate sheet.

Name of Witness		Phone Number (home and cell)	
Address of Witness	City	State	Zip code

Identify factors related to the health care team that may have contributed to the incident:			
<input type="checkbox"/> Breakdown of health care team communication	<input type="checkbox"/> Lack of multidisciplinary care planning		
<input type="checkbox"/> Lack of patient education	<input type="checkbox"/> Lack of patient involvement in their plan of care		
<input type="checkbox"/> Lack of family/caregiver education	<input type="checkbox"/> Majority of staff had not worked together previously		
<input type="checkbox"/> Illegible handwriting	<input type="checkbox"/> Intradepartmental conflict/non-supportive environment		
<input type="checkbox"/> Intimidating/threatening behavior	<input type="checkbox"/> Other		

System and Environment

Community population: <input type="checkbox"/> Less than 10,000 <input type="checkbox"/> 10,000 to 50,000 <input type="checkbox"/> Greater than 50,000			
Type of Facility:		Number of beds in facility _____	
<input type="checkbox"/> Ambulatory Care	<input type="checkbox"/> Behavioral Health/Mental Health	<input type="checkbox"/> Hospital	
<input type="checkbox"/> Critical Access Hospital	<input type="checkbox"/> Home Care	<input type="checkbox"/> Clinic	
<input type="checkbox"/> Long Term Care	<input type="checkbox"/> Assisted living	<input type="checkbox"/> Other	
Type of medical record system:			
<input type="checkbox"/> Electronic documentation	<input type="checkbox"/> Electronic physician orders		
<input type="checkbox"/> Electronic medication administration	<input type="checkbox"/> Paper documentation		
<input type="checkbox"/> Combined paper/electronic records	<input type="checkbox"/> Other		
Identify any staffing issues that may have contributed to the incident:			
<input type="checkbox"/> Lack of supervisory/management support	<input type="checkbox"/> Lack of other health care team support		
<input type="checkbox"/> Lack of experienced nurses	<input type="checkbox"/> Lack of nursing support staff		
<input type="checkbox"/> Lack of clerical support	<input type="checkbox"/> Other		
Identify system elements that may have contributed to the incident – Check all that apply:			
COMMUNICATION FACTORS:			
<input type="checkbox"/> No adequate channels for resolving disagreements	<input type="checkbox"/> Communication systems equipment failure		
<input type="checkbox"/> Medical records not accessible	<input type="checkbox"/> Computer system failure		
<input type="checkbox"/> Shift change (patient hand-off)	<input type="checkbox"/> Patient identification failure		
<input type="checkbox"/> Patient transfer (hand-off)	<input type="checkbox"/> Intradepartmental communication breakdown/conflict		
<input type="checkbox"/> Patient name similar/same	<input type="checkbox"/> Lack of patient education		
<input type="checkbox"/> Lack of ongoing education/training	<input type="checkbox"/> Unknown		
<input type="checkbox"/> None	<input type="checkbox"/> Other _____		
LEADERSHIP/MANAGEMENT FACTORS:			
<input type="checkbox"/> Poor supervision/support by others	<input type="checkbox"/> Unclear scope and limits of authority/responsibility		
<input type="checkbox"/> Inadequate/outdated policies/procedures	<input type="checkbox"/> Assignments or placement of inexperienced staff		

